

OCT 22 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Dongwen Wang et al. Docket No.: 5199-99  
Serial No.: 10/600,270 Examiner: to be assigned  
Filed: June 20, 2003 Group Art Unit: 2123  
Title: **Guideline Execution by Semantic Decomposition of Representation (GESDOR)**

October 17, 2003

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97(b)**

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, applicant hereby notifies the U. S. Patent and Trademark Office of the following documents, which are listed on the attached PTO/SB/08B form. The examiner may deem these documents to be relevant to patentability of the claims of the above-identified application. Copies of the documents are enclosed for the examiner's convenience.

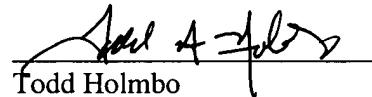
The submission of the listed documents is not intended as an admission that any of the documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the examiner and be made of record in the present application and that initialed copies of Form PTO/SB/08B be returned in accordance with MPEP §609.

It is understood that no fee is necessary for submission of this Information Disclosure Statement, as it is being filed before the later of three months from the filing date or the mailing of the first Office Action on the merits.

The Commissioner is hereby authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4270.

Respectfully submitted,



Todd Holmbo  
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Attorney for Applicants  
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Substitute for form 1449/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>					
(Use as many sheets as necessary)					
Sheet	1	of	2	Attorney Docket Number	5199-99

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		WANG D, et al., "GESDOR – a generic execution model for sharing of computer-interpretable clinical			
		clinical practice guidelines. Proc AMIA Symp. 2003;: (in press)			
		http://www.dbmi.columbia.edu/homepages/wandong/papers/AMIA2003-submit-final-final.pdf			
		PATEL, V.L. et al, "Analysis of the Encoding Guidelines: A Comparison of GLIF2 and GLIF3."			
		Methods Inf Med 2002; 41:105-13			
		TAO YING, "Extended Attributes of Event Monitor Systems for Criteria-Based Notification Modalities,"			
		Proc AMIA Symp. 2002;:762-6			
		WANG, D. et al., "GLEE - A Model-Driven Execution System for Computer-Based Implementation of			
		Clinical Practice Guidelines," Proc AMIA Symp. 2002;:855-9.			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/600,270
		Filing Date	06/20/2003
		First Named Inventor	Dongwen Wang
		Art Unit	2123
		Examiner Name	To be Determined
Sheet	2	of	2
		Attorney Docket Number	
		5199-99	

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		WANG, D. et al., "Representation of Clinical Practice Guidelines for Computer-Based	
		Implementations," Medinfo. 2001;10(Pt 1):285-9	
		WANG, D., "Representation Primitives, Process Models and Patient Data in Computer-Interpretable	
		Clinical Practice Guidelines: A Literature Review of Guideline Representation Models."	
		Int J Med Inf. 2002 Dec 18;68(1-3):59-70	
		WANG, D. "Translating National Childhood Immunization Guidelines to a Computer-Based Reminder	
		Recall System within an Immunization Registry."	
		Proc AMIA Symp. 1999;:994-8	
		CONOVA, SUSAN, "Medical Informatics: Useful Clinical Guidelines,"	

Examiner Signature	Date Considered
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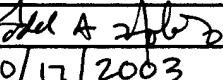
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/600,270
		Filing Date	06/20/2003
		First Named Inventor	Dongwen Wang
		Art Unit	2123
		Examiner Name	to be determined
Total Number of Pages in This Submission		Attorney Docket Number	5199-99

<b>ENCLOSURES (Check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div style="border: 1px solid black; padding: 2px; display: inline-block;">Remarks</div>	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Return receipt postcard	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual	Todd Holmbo, Reg. No. 42,665
Signature	
Date	10/17/2003

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>10/17/03</b>	
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Signature	
Date	10/17/03

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